Atty. Dkt. No. 73139/101



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Malackowski et al.

Title:

SYSTEM FOR AND METHOD OF RISK MINIMIZATION AND ENHANCED RETURNS IN AN INTELLECTUAL CAPITAL BASED VENTURE INVESTMENT

Appl. No.:

Unknown

Filing Date:

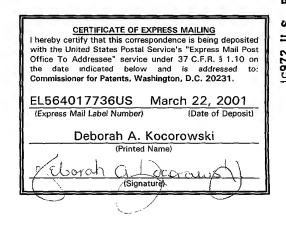
Unknown

Examiner:

Unknown

Art Unit:

Unknown



UTILITY PATENT APPLICATION TRANSMITTAL

Commissioner for Patents Box PATENT APPLICATION Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

James E. Malackowski David A. Kennedy Roger L. May

[X] Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- [X] Specification, Claim(s), and Abstract (19 pages).
- [X] Informal drawings (3 sheets, Figures 1-3).
- [X] Declaration and Power of Attorney (5 pages).

The filing fee is calculated below:

	Claims as Filed		Included in Basic Fee		Extra Claims		Rate		Fee Totals
Basic Fee							\$710.00		\$710.00
Total Claims:	22	-	20	=	2	x	\$18.00	=	\$36.00
Independents:	3		3	=	0	×	\$80.00	=	\$0.00
If any Multiple Dependent Claim(s) present: + \$270.00							=	\$0.00	
							SUBTOTAL:	=	\$746.00
[X]	Small Entity Fees Apply (subtract ½ of above):							=	\$373.00
3			•	• •			FILING FEE:	=	\$373.00

- [X] A check in the amount of \$373.00 to cover the filing fee is enclosed.
- [] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

By

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date March 22, 2001

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